



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 5  
77 WEST JACKSON BOULEVARD  
CHICAGO, IL 60604-3590

May 3, 2021

VIA EMAIL ONLY

Mr. William E. Shook  
Partner  
Promiz, LLC  
2228 Green Street Road  
Centralia, Illinois 62801  
[bill@promizllc.com](mailto:bill@promizllc.com)

Re: Expedited Settlement Agreement and Final Order In the Matter of Promiz, LLC  
Docket Number FIFRA-05-2021-0013

Mr. Shook:

Enclosed please find a copy of a fully executed Expedited Settlement Agreement and Final Order in resolution of the above case. This document was filed on May 3, 2021 with the Regional Hearing Clerk.

The civil penalty in the amount of \$300 is to be paid in the manner described in paragraphs 11-12. Please be certain that the docket number is written on both the transmittal letter and on the check. Payment is due within 30 calendar days of the filing date.

Thank you for your cooperation in resolving this matter.

Sincerely,

Nguyen,  
Anna

Digitally signed by  
Nguyen, Anna  
Date: 2021.03.03  
12:57:49 -06'00'

Anna Nguyen  
Pesticides and Toxics Compliance Section

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 5**

<b>In the Matter of:</b>	)	
	)	<b>Docket No. FIFRA-05-2021-0013</b>
<b>Promiz, LLC</b>	)	
<b>Junction City, Illinois</b>	)	<b>EXPEDITED SETTLEMENT</b>
	)	<b>AGREEMENT AND</b>
<b>Respondent.</b>	)	<b>FINAL ORDER</b>
_____	)	

**EXPEDITED SETTLEMENT AGREEMENT and FINAL ORDER**

1. The U.S. Environmental Protection Agency alleges that Promiz, LLC (Respondent) failed to comply with Section 7(c) of the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA), 7 U.S.C. § 136e(c), for its facility, EPA Establishment Number 90875-IL-1, located at 530 Madison Avenue, Building 3, Junction City, Illinois 62882.

2. Section 7(c) of FIFRA, 7 U.S.C. § 136e(c), requires any producer operating a registered pesticide-producing establishment to inform EPA of the types and amounts of pesticides (and, if applicable, active ingredients used in producing pesticides): which it is producing; which it has produced during the past year; and which it has sold or distributed during the past year. In addition, § 136e(c) provides that the required information shall be kept current and submitted to the Administrator annually, as required by regulations prescribed by the Administrator.

3. 40 C.F.R. § 167.85(a) and (b) adds requirements for reporting: devices produced at registered pesticide-producing establishments; the name and address of the establishment; and an estimate of the amount of pesticide product to be produced during the current year.

4. 40 C.F.R. § 167.85(c) requires the producer to obtain, complete and submit

annually a pesticide reporting form supplied by EPA. The applicable form, “*EPA Form 3540-16, Pesticide Report for Pesticide-Producing and Device-Producing Establishments*,” requires, among other things: identification of the establishment; identification of the company; authorized signature and signature date; and specific pesticide production information. In addition, the document “*Instructions for Completing EPA Form 3540-16, Pesticide Report for Pesticide-Producing and Device-Producing Establishments*” provides additional detail regarding information required on the Form.

5. 40 C.F.R. § 167.85(d) requires the pesticide-production reports to be filed annually on or before March 1, even if the producer has not produced any pesticidal products for that reporting year.

6. Respondent failed to submit the annual report for calendar year 2019 by the March 1, 2020 deadline, as required by 40 C.F.R. § 167.85(d).

7. Respondent’s failure to comply with Section 7(c) of FIFRA and 40 C.F.R. § 167.85 constitutes a violation of Section 12(a)(2)(L) of FIFRA, 7 U.S.C. § 136j(a)(2)(L).

8. EPA and Respondent agree to settle this matter for a civil penalty of \$300.00 (three hundred dollars and zero cents). EPA and Respondent agree that settlement of this matter is in the public interest.

9. EPA is authorized to enter into this Expedited Settlement Agreement and Final Order (Agreement) pursuant to Section 14(a) of FIFRA, 7 U.S.C. § 136l, and 40 C.F.R. §§ 22.13(b) and 22.18(b)(2)-(3).

10. In signing this Agreement, Respondent: (a) admits that Respondent is subject to the requirements in Paragraphs 2 through 5 above; (b) admits that EPA has jurisdiction over

Respondent and Respondent's conduct as alleged herein; (c) neither admits nor denies the factual allegations contained herein; (d) consents to the assessment of this penalty; and (e) waives any right to contest the allegations contained herein, and its right to appeal the proposed Final Order attached hereto.

11. Within 30 days of the effective date of this Agreement, Respondent shall pay a civil penalty of \$300.00 (three hundred dollars and zero cents) for the FIFRA violations identified in this Agreement by sending a cashier's or certified check, payable to "Treasurer, United States of America" via either:

- U.S. Mail to:

U.S. Environmental Protection Agency  
P.O. Box 979077  
St. Louis, MO 63197-9000

- Or overnight/common carrier (*i.e.*, FedEx, DHL, UPS) to:

U.S. Environmental Protection Agency  
Government Lockbox 979077  
1005 Convention Plaza SL-MO-C2-GL  
St. Louis, MO 63101

Alternatively, the penalty payment to "Treasurer, United States of America" may be made via:

- Electronic deposit for payment (Vendor Express, Fedwire, Pay.gov) at <http://www2.epa.gov/financial/makepayment> following the online directions for an electronic funds transfer (EFT).

The check or electronic funds transfer instrument must state the case title ("In the Matter of: Promiz, LLC"), and the docket number of this Agreement.

12. When it pays the penalty, Respondent must send a notice of payment that states Respondent's name, complete address, and the case docket number (along with a photocopy of

the check or a statement of affirmation or receipt of an electronic funds transfer) either via regular mail or electronic mail to:

Regional Hearing Clerk (E-19J)  
U.S. EPA, Region 5  
77 West Jackson Blvd.  
Chicago, IL 60604  
[r5hearingclerk@epa.gov](mailto:r5hearingclerk@epa.gov)

Anna Nguyen  
Pesticides and Toxics Compliance Section (ECP-17J)  
U.S. EPA, Region 5  
77 West Jackson Blvd.  
Chicago, IL 60604  
[Nguyen.anna@epa.gov](mailto:Nguyen.anna@epa.gov)

Adam Mittermaier  
Office of Regional Counsel (C-14J)  
U.S. EPA, Region 5  
77 West Jackson Blvd.  
Chicago, IL 60604  
[Mittermaier.adam@epa.gov](mailto:Mittermaier.adam@epa.gov)

13. Payment of the civil penalty shall resolve only Respondent's liability for federal civil penalties for the violations and facts alleged herein.

14. The civil penalty is not deductible for federal tax purposes.

15. If Respondent does not timely pay the civil penalty, EPA may bring an action to collect any unpaid portion of the penalty with interest, handling charges, nonpayment penalties, and the United States' enforcement expenses for the collection action. The validity, amount and appropriateness of the civil penalties are not reviewable in a collection action.

16. EPA reserves all of its rights to take enforcement action for any other past, present, or future violations by Respondent of FIFRA, any other federal statute or regulation, or this Agreement.

17. Respondent certifies that it is complying with Section 7(c) of FIFRA, 7 U.S.C. § 136e(c), and its implementing regulations at 40 C.F.R. § 167.85.

18. Upon signing and returning this Agreement to EPA, Respondent waives any and all remedies, claims for relief and other available rights to judicial or administrative review that Respondent may have with respect to any issue of fact or law set forth in this Expedited Settlement Agreement, including the opportunity for a hearing or appeal pursuant to FIFRA and 40 C.F.R. Part 22 and any right of judicial review under Chapter 7 of the Administrative Procedure Act, 5 U.S.C. §§ 701-706.

19. Each party shall bear its own costs and fees, if any.

20. The Agreement authorized by EPA's execution of the Final Order attached hereto constitutes a final order under 40 C.F.R. Part 22.

21. This Agreement is binding on the parties signing below, and in accordance with 40 C.F.R. § 22.31(b), is effective upon filing of the Final Order.

22. The parties consent to service of this Expedited Settlement Agreement and Final Order by e-mail at the following valid e-mail addresses: [mittermaier.adam@epa.gov](mailto:mittermaier.adam@epa.gov) (for Complainant), and [bill@promizllc.com](mailto:bill@promizllc.com) (for Respondent).

IT IS SO AGREED,

FOR RESPONDENT:

Name (print): William E. Shook


Title (print): Partner bill@promizllc.com

Signature: 

Date 2/12/21

APPROVED BY EPA:

**MICHAEL  
HARRIS**

 Digitally signed by MICHAEL  
HARRIS  
Date: 2021.04.21 13:51:11  
-05'00'

Michael D. Harris  
Director  
Enforcement and Compliance Assurance Division  
United States Environmental Protection Agency  
Region 5

Date 4/21/21

**FINAL ORDER**

Pursuant to authority of Section 14(a) of FIFRA, 7 U.S.C. § 136l, and 40 C.F.R. § 22.13(b), and according to the terms of this Agreement, IT IS HEREBY ORDERED THAT:

This Expedited Settlement Agreement and Final Order, as agreed to by the parties, shall become effective immediately upon filing with the Regional Hearing Clerk. This Expedited Settlement Agreement and Final Order concludes this proceeding pursuant to 40 C.F.R. §§ 22.18 and 22.31.

IT IS SO ORDERED:

**ANN  
COYLE**

Digitally signed by ANN  
COYLE  
Date: 2021.04.28  
11:43:42 -05'00'

Date \_\_\_\_\_

Ann L. Coyle  
Regional Judicial Officer  
United States Environmental Protection Agency  
Region 5



In the Matter of: Promiz LLC  
Docket Number: FIFRA-05-2021-0013

**CERTIFICATE OF SERVICE**

I certify that I served a true and correct copy of the foregoing **Expedited Settlement Agreement**, which was filed on, May 3, 2021 this day in the following manner to the addressees:

Copy by E-mail to  
Respondent:

Mr. William E. Shook  
Partner  
2228 Green Street Road  
Centralia, Illinois 62801  
[bill@promizllc.com](mailto:bill@promizllc.com)

Copy by E-mail to  
Attorney for Complainant:

Adam Mittermaier  
[Mittermaier.adam@epa.gov](mailto:Mittermaier.adam@epa.gov)

Copy by E-mail to  
Regional Judicial Officer:

Ann Coyle  
[coyle.ann@epa.gov](mailto:coyle.ann@epa.gov)

Dated: \_\_\_\_\_

\_\_\_\_\_  
LaDawn Whitehead  
Regional Hearing Clerk  
U.S. Environmental Protection Agency, Region 5